

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence, including the patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed to a new address in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

7590

04/23/2007

FROMMER LAWRENCE & HAUG, LLP.
10TH FLOOR
745 FIFTH AVENUE
NEW YORK, NY 10151

06/22/2007 WABDEL3 00000014 10785246

01 FC:1501 1400.00 OP
02 FC:1504 300.00 OP
03 FC:5001 2.00 OP

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/785,246	02/24/2004	Helmut Lucke	450100-03060.1	8911

TITLE OF INVENTION: SPEECH RECOGNITION APPARATUS, SPEECH RECOGNITION METHOD, AND RECORDING MEDIUM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	07/23/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
LERNER, MARTIN	2626	704-270000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. FROMMER LAWRENCE & HAUG LLP

2. WILLIAM S. FROMMER

3. PAUL A. LEVY

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SONY CORPORATION

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 3

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0320 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date: June 20, 2007

Typed or printed name

WILLIAM S. FROMMER

Registration No. 25,506

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



CERTIFICATE OF MAILING

Issue Fee Due

Publication Fee

Serial No. : 11/089,671
Attorney Docket : 450100-05485
First Named Inventor : Soroj Triteyaprasert

July 23, 2007

\$300.00

Serial No. : 10/785,246
Attorney Docket : 450100-03060.1
First Named Inventor : Helmut Lucke

July 23, 2007

\$300.00

Serial No. : 10/484,789
Attorney Docket : 450100-04570
First Named Inventor : Takayuki Ogura

July 24, 2007

\$300.00

Serial No. : 09/242,485
Attorney Docket : 450108-4474
First Named Inventor : Peter Shintani

July 24, 2007

Issue Fee
Paid 01/08/07

Serial No. : 11/497,083
Attorney Docket : 450104-03663.5
First Named Inventor : Makibi Nakamura

July 25, 2007

\$300.00

Serial No. : 10/296,403
Attorney Docket : 450100-03837
First Named Inventor : Rika Horinaka

July 27, 2007

\$300.00

Serial No. : 10/872,680
Attorney Docket : 450100-05094
First Named Inventor : Yasuyuki Abe

July 27, 2007

\$300.00

Serial No. : 10/305,044
Attorney Docket : 450100-04241
First Named Inventor : Akira Sugiyama

July 27, 2007

\$300.00

I hereby certify that the issue fee payments for the above-referenced applications are deposited with the United States **June 20, 2007** via "Express Mail # **EV 959078778 US** and addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barnet Shindoh
(Typed name of person mailing paper or fee)

Barnet Shindoh
Signature

6/20/07
Date